



**DEPARTMENT OF THE NAVY**

NAVAL NUCLEAR PROPULSION PROGRAM  
NAVAL SEA SYSTEMS COMMAND (SEA 08)  
1240 ISAAC HULL AVENUE SE  
WASHINGTON NAVY YARD DC 20376-8010

1426

Ser 08B-MP/0032

23 Mar 15

From: Commander, Military Personnel Detachment, Office of Naval  
Reactors, Department of Energy  
To: President, Promotion Review Board  
Via: (b)(6), (b)(7)(C) USN

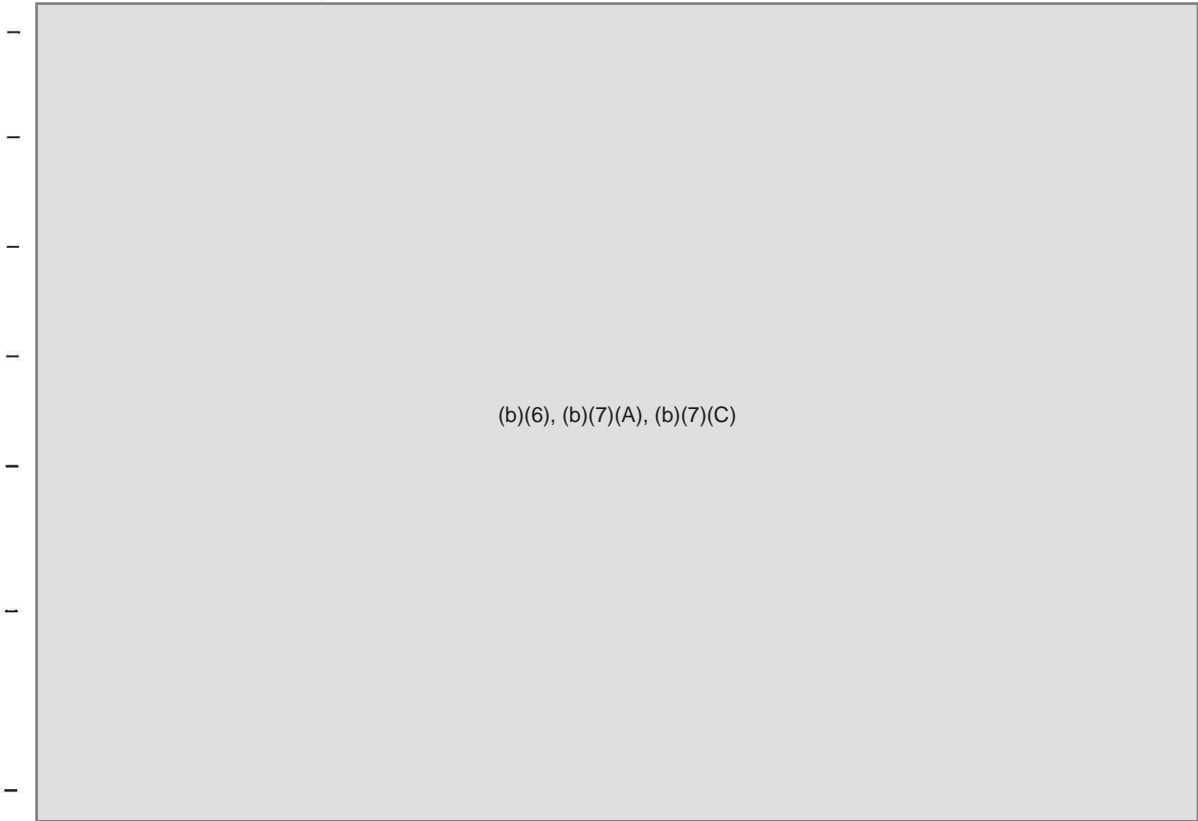
Subj: LETTER OF SUPPORT ICO (b)(6), (b)(7)(C) USN

1. I am submitting this letter to provide the Board my perspective on the events associated with (b)(6), (b)(7)(C) and Glenn Defense Marine-Asia (GDMA), a government contractor. As the Consolidated Disposition Authority (CDA) appointed by the Navy to review and adjudicate cases arising from the ongoing Department of Justice investigation into GDMA, I had the opportunity to evaluate, in detail, (b)(6), (b)(7)(C) interaction with GDMA and am uniquely situated to comment on these facts and circumstances. My assessment is that (b)(6), (b)(7)(C) is a professional officer with tremendous potential for continued and future contributions to our Navy and our Nation. I strongly recommend (b)(6), (b)(7)(C) for promotion to (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)

2. (b)(6), (b)(7)(C) case was referred to the CDA as part of a larger inquiry into senior officer interaction with GDMA. (b)(6), (b)(7)(C) came to the attention of the CDA by virtue of the 2006 Deployment of the USS RONALD REAGAN Strike Group (RRSG). During that deployment, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) The CDA review found that (b)(6), (b)(7)(C) attended two expensive dinner parties hosted by GDMA. As a result of my findings, I took an appropriate non-punitive administrative action within my authority as CDA in order to inform and educate (b)(6), (b)(7)(C) about the risks associated with interactions with defense contractors. It was never my intent to prevent (b)(6), (b)(7)(C) promotion. In fact, from my investigations and subsequent interactions with (b)(6), (b)(7)(C) I have every confidence that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) has learned from this experience and will be a better leader as a result.

Subj: LETTER OF SUPPORT ICO (b)(6), (b)(7)(C) USN

3. There are also several factors that both mitigate the impact of these events and favor (b)(6), (b)(7)(C) promotion:



4. In summary, I am confident that (b)(6), (b)(7)(C) is ready for the next level of responsibility. I would proudly serve with (b)(6), (b)(7)(C). I strongly encourage the Board to support (b)(6), (b)(7)(C) promotion to (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)

J. M. RICHARDSON  
Admiral, U.S. Navy



**DEPARTMENT OF THE NAVY**

NAVAL NUCLEAR PROPULSION PROGRAM  
NAVAL SEA SYSTEMS COMMAND (SEA 08)  
1333 ISAAC HULL AVENUE SE  
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0040

24 Apr 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy  
To: Judge Advocate General

Subj: POTENTIAL VIOLATION OF RULES OF PROFESSIONAL RESPONSIBILITY ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) JAGINST 5803.1E

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter, I have reviewed the Defense Criminal Investigative Service and the Naval Criminal Investigative Service investigations into possible criminal violations committed by GDMA/Leonard Francis, a prohibited source, and various naval officers. During the course of my review, I have reviewed information that calls into question the professional competence on at least one occasion of the subject officer. In accordance with reference (a), the below information is forwarded as a matter under your cognizance.

2. [REDACTED] (b)(6), (b)(7)(C) USN, was the [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) from May 2002 to July 2004. While serving as the [REDACTED] (b)(6), (b)(7)(C) provided a [REDACTED] (b)(6), (b)(7)(C) concerning a GDMA-hosted dinner event that occurred on or about 14 September 2003 [REDACTED] (b)(6), (b)(7)(C) later learned certain information that would have called into question [REDACTED] (b)(6), (b)(7)(C) previous opinion.

3. [REDACTED] (b)(6), (b)(7)(C) states that on [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) of the extravagance of the first dinner event from various sources [REDACTED] (b)(6), (b)(7)(C) did not approach [REDACTED] (b)(6), (b)(7)(C) Commander with [REDACTED] (b)(6), (b)(7)(C) concerns [REDACTED] (b)(6), (b)(7)(C) could not "put the genie back in the bottle" and there was no value in going back because the dinner had already occurred. [REDACTED] (b)(6), (b)(7)(C) apparently did not pursue any corrective measures, nor did [REDACTED] (b)(6), (b)(7)(C) issue any after-action reports for the first dinner. [REDACTED] (b)(6), (b)(7)(C) states that [REDACTED] (b)(6), (b)(7)(C) "could beat [REDACTED] (b)(6), (b)(7)(C) up" over the issue [REDACTED] (b)(6), (b)(7)(C) could say [REDACTED] (b)(6), (b)(7)(C) made the decision not to revisit the issue of the extravagance of the dinner after it occurred because [REDACTED] (b)(6), (b)(7)(C) as an [REDACTED] (b)(6), (b)(7)(C) that [sic] wanted to [REDACTED] (b)(6), (b)(7)(C) could not answer why there was not a formal debrief after the first dinner.

Subj: POTENTIAL VIOLATION OF RULES OF PROFESSIONAL  
RESPONSIBILITY ICO (b)(6), (b)(7)(C) USN

4. (b)(6), (b)(7)(C) stated, in hindsight, (b)(6), (b)(7)(C) had details of a second GDMA-hosted dinner event (that occurred on 9 October 2003) beforehand (b)(6), (b)(7)(C) could have had an issue with the dinner because Leonard Francis (the host of the dinner event) was the husbanding agent and a government contractor. If (b)(6), (b)(7)(C) had reason to believe that (b)(6), (b)(7)(C) original (b)(6), (b)(7)(C) may have been based upon less than a full disclosure of the relevant facts, then it is unclear why (b)(6), (b)(7)(C) did not communicate this concern to (b)(6), (b)(7)(C) immediately. Not only could corrective action been taken, but this may have prevented the second dinner event from occurring.

5. In light of (b)(6), (b)(7)(C) statements relating to (b)(6), (b)(7)(C) understanding of federal ethics regulations, I am concerned about (b)(6), (b)(7)(C) in regards to the acceptance of gifts from outside sources. 6. My point of contact for this matter is (b)(6), (b)(7)(C) USN (b)(6), (b)(7)(C)

  
J. M. RICHARDSON



**DEPARTMENT OF THE NAVY**

NAVAL NUCLEAR PROPULSION PROGRAM  
NAVAL SEA SYSTEMS COMMAND (SEA 08)  
1333 ISAAC HULL AVENUE SE  
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0055

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval  
Reactors, Department of Energy

To: Judge Advocate General

Subj: ADDENDUM TO POTENTIAL VIOLATION OF RULES OF PROFESSIONAL  
RESPONSIBILITY ICO (b)(6), (b)(7)(C) USN

Ref: (a) My ltr 5800 Ser 08B-MP/0040 of 24 Apr 15

1. This addendum supplements reference (a) with additional

Referred to the Department of Defense, Office of the Inspector General, 4800 Mark Center Drive, Alexandria, VA 22350-1500

Subj: ADDENDUM TO POTENTIAL VIOLATION OF RULES OF PROFESSIONAL  
RESPONSIBILITY ICO (b)(6), (b)(7)(C) USN

Referred to the Department of Defense, Office of the Inspector General, 4800 Mark Center Drive, Alexandria, VA 22350-1500

6. I refer this information to your attention for action as appropriate. My point of contact for this matter is (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) USN (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON



# DEPARTMENT OF THE NAVY

COMMANDER  
U.S. FLEET FORCES COMMAND  
1562 MITSCHER AVENUE SUITE 250  
NORFOLK VA 23551-2487

5800

Ser N01L/112

22 Dec 15

From: Commander, United States Fleet Forces Command  
To: Assistant Commandant of the Marine Corps

Subj: RECOMMENDATION ICO (b)(6), (b)(7)(C)  
(b)(6), (b)(7)(C) USMC

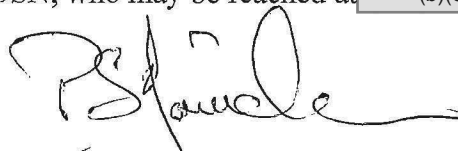
Ref: (a) VCNO Memo Ser N09D/15U112927 of 15 Oct 15  
(b) 5 C.F.R. § 2635  
(c) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I am charged with forwarding factual findings and a non-binding recommendation to you for disposition as you deem appropriate in any case involving Marine Corps personnel. Based on a thorough review and legal analysis, I determined that the evidence does not substantiate any allegations of misconduct by (b)(6), (b)(7)(C) USMC.

2. On or about 20 December 2003, while serving as the (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) attended a dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner was approximately \$1,015.82 per person. I determined that none of the gift exceptions contained in reference (b) apply to this dinner. There was also evidence that GDMA offered gifts of cigars, personalized name plates and pewter items, and arranged for the availability of prostitutes to attendees at the dinner. However, there is absolutely no evidence that (b)(6), (b)(7)(C) patronized a prostitute, behaved inappropriately, or accepted any other gifts at the dinner.

3. (b)(7)(A) (b)(7)(A) the evidence supports that (b)(6), (b)(7)(C) had an honest and reasonable belief that (b)(7)(A) and that attendance was legally permissible (b)(7)(A) As such, I determined it would be inappropriate to substantiate misconduct by (b)(6), (b)(7)(C) in regard to this dinner. However, as the evidence does not show any corrective action following the dinner, I recommend that (b)(6), (b)(7)(C) receive ethics training and counseling as you deem appropriate.

4. These findings constitute reportable information in accordance with reference (c). My point of contact is (b)(6), (b)(7)(C) USN, who may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.

  
B. S. DAVIDSON

Name	Current Rank	Retired	Strike Group	Investigation Group	Determination	Promotion Hold
(b)(6),(b)(7)(A), (b)(7)(C)				CDA2		
				CDA2		
				CDA2		
				CDA2		
				CDA2		
				CDA2		Yes
				CDA2		
				CDA2		
				CDA2		
				CDA2	Unsubstantiated	
				CDA2		
				CDA2		
				CDA2	Unsubstantiated	
				CDA2	Unsubstantiated	
				CDA1	Substantiated	
				CDA2		
				CDA2		
				CDA2		
				CDA2		
				CDA2		
				CDA1	Unsubstantiated	
				CDA1	Substantiated	
				CDA1	Unsubstantiated	
				CDA2		
				CDA1	Substantiated	
				CDA2	Substantiated	
				CDA2	Unsubstantiated	Yes
				CDA2		
				CDA1	Substantiated	
				CDA2		
				CDA2	Substantiated	

(b)(6),(b)(7)(A), (b)(7)(C)

CDA2		
CDA2	Unsubstantiated	
CDA2		Yes
CDA1	Substantiated	
CDA2	Unsubstantiated	No
CDA2	Unsubstantiated	
CDA2	Substantiated	
CDA2	Unsubstantiated	
CDA2	Substantiated	
CDA2		
CDA2	Unsubstantiated	
CDA2	Unsubstantiated	No
CDA2	Unsubstantiated	
CDA1	Substantiated	
CDA2	Unsubstantiated	
CDA2	Unsubstantiated	
CDA1	Substantiated	
CDA2	Unsubstantiated	
CDA2	Unsubstantiated	
CDA2		Yes
CDA2	Unsubstantiated	
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		Yes
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		
CDA2		Yes
CDA2		Yes

(b)(6),(b)(7)(A), (b)(7)(C)

CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		
CDA2		
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		
CDA1	Substantiated	
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		
CDA2		
CDA2		
CDA2		Yes
CDA2	Unsubstantiated	Yes
CDA2		
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	
CDA2	Unsubstantiated	Yes
CDA2		

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2		Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2		
	CDA2		
	CDA2	Substantiated	
	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA2		
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA2		Yes
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	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
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	CDA1	Substantiated	
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA1	Substantiated	
	CDA2		
	CDA2	Unsubstantiated	

(b)(6),(b)(7)(A), (b)(7)(C)

CDA2		
CDA1	Unsubstantiated	
CDA1	Unsubstantiated	
CDA2		
CDA2	Unsubstantiated	No
CDA1	Unsubstantiated	
CDA2	Unsubstantiated	Yes
CDA2		
CDA1	Unsubstantiated	
CDA2	Substantiated	
CDA2		Yes
CDA2	Unsubstantiated	No
CDA2	Unsubstantiated	No
CDA2		
CDA2		
CDA2	Unsubstantiated	No
CDA2		
CDA2		
CDA1	Unsubstantiated	
CDA2	Unsubstantiated	No
CDA1	Unsubstantiated	
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2	Substantiated	No
CDA2		
CDA2	Unsubstantiated	No
CDA2		
CDA1	Unsubstantiated	
CDA2		
CDA2		
CDA2		
CDA1	Unsubstantiated	
CDA1	Unsubstantiated	

(b)(6),(b)(7)(A), (b)(7)(C)	CDA1	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	No
	CDA1	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		
	CDA2		
	CDA2		
	CDA2		Yes
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
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	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA2		
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	CDA2	Unsubstantiated	Yes
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	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA1	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Substantiated	Yes

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA2		No
	CDA2		No
	CDA2		
	CDA2	Unsubstantiated	No
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	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA1	Unsubstantiated	
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		
	CDA2		Yes
	CDA1	Substantiated	
	CDA2		
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		
	CDA1	Unsubstantiated	
	CDA1	Unsubstantiated	
	CDA2		Yes

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2	Unsubstantiated	No
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	No
	CDA1	Unsubstantiated	
	CDA2	Unsubstantiated	No
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		
	CDA1	Unsubstantiated	
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	
	CDA2		
	CDA2		Yes
	CDA2	Unsubstantiated	
	CDA2		No
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		No
	CDA2		No
	CDA2		
	CDA2		
	CDA2		
	CDA2		
	CDA2		
	CDA2		
	CDA2		No
	CDA1	Unsubstantiated	
	CDA2	Substantiated	

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	No
	CDA2		
	CDA2		
	CDA2	Substantiated	No
	CDA2	Substantiated	
	CDA2	Referred to other agency	
	CDA2		
TOTAL:			
281			

(b)(6), (b)(7)(C)

**From:** (b)(6), (b)(7)(C)  
**Sent:** Tuesday, July 19, 2016 13:48  
**To:** (b)(6), (b)(7)(C)  
**Subject:** Ethics Training  
**Signed By:** (b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) ethics training is now complete. I'll note the file.

V/r

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

**From:** (b)(6), (b)(7)(C)  
**Sent:** Tuesday, July 19, 2016 13:49  
**To:** (b)(6), (b)(7)(C)  
**Subject:** FW: Confirmed  
**Signed By:** (b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) confirmed below that (b)(6), (b)(7)(C) completed ethics training for (b)(6), (b)(7)(C) on 24 March. Please print out and put into (b)(6), (b)(7)(C) file.

Thank you.

V/r

(b)(6), (b)(7)(C)

-----Original Message-----

**From:** (b)(6), (b)(7)(C)  
**Sent:** Tuesday, July 19, 2016 1:41 PM  
**To:** (b)(6), (b)(7)(C)  
**Subject:** Confirmed

24 March

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

**From:**

(b)(6), (b)(7)(C)

**Sent:**

Friday, August 05, 2016 10:28

**To:**

(b)(6), (b)(7)(C)

**Cc:**

**Subject:**

MFR re Additional Ethics Training for (b)(6), (b)(7)(C)

**Signed By:**

(b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

This is a memorandum for the record. At the end of the CDA's counseling of (b)(6), (b)(7)(C) yesterday, I provided (b)(6), (b)(7)(C) with additional ethics training related to the basic principles of ethical conduct for government officers and employees, with a specific focus on the rules surrounding gifts.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

**From:** (b)(6), (b)(7)(C)  
**Sent:** Tuesday, April 05, 2016 15:48  
**To:** (b)(6), (b)(7)(C)  
**Subject:** FW: (b)(6), (b)(7)(C)  
**Signed By:** (b)(6), (b)(7)(C)@navy.mil

-----Original Message-----

**From:** (b)(6), (b)(7)(C)  
**Sent:** Tuesday, April 05, 2016 3:41 PM  
**To:** (b)(6), (b)(7)(C)  
**Subject:** (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) Just wanted to follow-up. (b)(6), (b)(7)(C) has completed the ethics training we discussed. (b)(6), (b)(7)(C)

V/R,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

**From:** (b)(6), (b)(7)(C)  
**Sent:** Friday, October 28, 2016 12:21  
**To:** (b)(6), (b)(7)(C)  
**Cc:** (b)(6), (b)(7)(C)  
**Subject:** RE: Ethics Training  
**Signed By:** (b)(6), (b)(7)(C)@navy.mil

Thanks, I similarly completed ethics training for (b)(6), (b)(7)(C) on 20 October if you want to document that in some way (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

-----Original Message-----

**From:** (b)(6), (b)(7)(C)  
**Sent:** Friday, October 28, 2016 10:20 AM  
**To:** (b)(6), (b)(7)(C)  
**Cc:** (b)(6), (b)(7)(C)  
**Subject:** Ethics Training

(b)(6), (b)(7)(C)

I completed ethics training with (b)(6), (b)(7)(C) this morning. This was the last task remaining for the ESG-1 sweep-up cases.

A note indicating that training is complete will be included in the ESG-1 folder.

V/r

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

**From:** (b)(6), (b)(7)(C)  
**Sent:** Friday, October 28, 2016 10:20  
**To:** (b)(6), (b)(7)(C)  
**Cc:**  
**Subject:** Ethics Training  
**Signed By:** (b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

I completed ethics training with (b)(6), (b)(7)(C) this morning. This was the last task remaining for the ESG-1 sweep-up cases.

A note indicating that training is complete will be included in the ESG-1 folder.

V/r

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

**From:** (b)(6), (b)(7)(C)  
**nt:** Tuesday, July 19, 2016 9:23  
**o:** (b)(6), (b)(7)(C)  
**Subject:** FW: Training  
**Signed By:** (b)(6), (b)(7)(C)@navy.mil

Ethics training complete.

-----Original Message-----

**From:** (b)(6), (b)(7)(C)  
**Sent:** Monday, July 18, 2016 11:14 AM  
**To:** (b)(6), (b)(7)(C)  
**Cc:** (b)(6), (b)(7)(C)  
**Subject:** RE: Training

There should be a window between 0900-0945, hopefully closer to 0900.

Can we book that time and I'll call you as close to 0900 as I can?

Thanks. V/r, (b)(6), (b)(7)(C)

-----Original Message-----

**om:** (b)(6), (b)(7)(C)  
**nt:** Monday, July 18, 2016 11:02 AM  
**To:** (b)(6), (b)(7)(C)  
**Cc:** (b)(6), (b)(7)(C)  
**Subject:** RE: Training

(b)(6), (b)(7)(C)

How does Tuesday morning look for you? Coming off your annual ethics training - I think we can knock this out pretty quickly. I'm wide open 9-12, so can adjust to your schedule. This is probably a 15-20 minute conversation, and mostly an opportunity for you to ask questions.

V/r,

(b)(6), (b)(7)(C)

-----Original Message-----

**From:** (b)(6), (b)(7)(C)  
**o:** (b)(6), (b)(7)(C)  
**Sent:** Monday, July 18, 2016 10:57 AM  
**To:** (b)(6), (b)(7)(C)  
**Cc:** (b)(6), (b)(7)(C)  
**Subject:** RE: Training

(b)(6), (b)(7)(C)

As for my schedule, I anticipate being in the office (b)(6), (b)(7)(C) (in DC area) the week of your return, but will be on travel myself the end of week of 4th and end of the week of 18th. Can work timing when you are back.

Enjoy your leave and Happy 4th to you both.

V/ (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

---

From: (b)(6), (b)(7)(C)

Sent: Friday, July 01, 2016 12:03 PM

To: (b)(6), (b)(7)(C)

Cc: (b)(6), (b)(7)(C)

Subject: Training

(b)(6), (b)(7)(C)

I will be on leave/travel next week, but will connect with you the following week. ADM Davidson has already signed and transmitted all appropriate paperwork as discussed. (b)(6), (b)(7)(C) (CC on this e-mail) is my POC if you have any questions in the interim, or feel free to call me on my cell, (b)(6), (b)(7)(C)

r,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

**From:**

(b)(6), (b)(7)(C)

**Sent:**

Tuesday, September 06, 2016 10:20

**To:**

(b)(6), (b)(7)(C)

**Cc:**

**Subject:**

RE: NPLOC and Ethics Training

**Signed By:**

(b)(6), (b)(7)(C) @navy.mil

(b)(6), (b)(7)(C) have completed the ethics training as required.

VR,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

-----Original Message-----

**From:**

(b)(6), (b)(7)(C)

**Sent:** Thursday, September 01, 2016 8:06 PM

**To:**

(b)(6), (b)(7)(C)

**Cc:**

(b)(6), (b)(7)(C) @navy.mil>

**Subject:** NPLOC and Ethics Training

(b)(6), (b)(7)(C)

Attached, please find the nonpunitive letter of caution you discussed today with ADM Davidson. Also, as mentioned, he is requiring you to complete remedial ethics training. To that end, I have attached three documents:

1. SECDEF's Ethics Memo from February 2016;
2. An Employee Ethics Guide; and
3. Ethics training presentation on gifts.

Please review all three documents. Upon completion, please send me an email certifying you have reviewed the material. I am your point of contact if you have any questions about the ethics material or your case in general.

Thank you.

R/

(b)(6), (b)(7)(C)



## DEPARTMENT OF THE NAVY

COMMANDER  
U.S. FLEET FORCES COMMAND  
1562 MITSCHER AVENUE SUITE 250  
NORFOLK VA 23551-2487

5800  
Ser CDA/072  
17 Feb 2017

From: Commander, United States Fleet Forces Command  
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RDML ADRIAN JANSEN, USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015  
(b) VCNO CDA Memo dtd 1 Oct 2015  
(c) 5 C.F.R. § 2635  
(d) DoD Instruction 1320.04  
(e) SECNAVINST 1650.1H  
(f) ALNAV 080/14  
(g) SECNAV M 5510.30

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against then-Captain Adrian Jansen, while he was serving as Naval Attaché to Indonesia between 2010 and 2013. Based on a preponderance of evidence, I substantiated that RDML Jansen wrongfully:

a. Violated the Joint Ethics Regulation as implemented by the Department of Defense, a lawful order, when he accepted gifts from Leonard Francis and GDMA, a prohibited source, on 7 December 2011, 20 October 2012 and 19 June 2013;

b. Was negligently derelict in the performance of his duties for failing to report Leonard Francis as a foreign contact to appropriate officials; and

c. Was willfully derelict in the performance of his duties when he failed to report gifts provided by Leonard Francis and GDMA, a foreign contact.

2. Between 2010 and 2013, RDML Jansen accepted gifts in the form of lavish dinners paid for by GDMA and Leonard Francis. The total value of the meals was in excess of \$5000.00 and none of the gift exceptions in reference (c) applied. In addition, at a private "farewell luncheon" hosted by Leonard Francis, RDML Jansen was provided gifts in the form of expensive bottles of wine and none of the gift exceptions in reference (c) applied.

3. As a Naval Attaché assigned to the Defense Intelligence Agency, RDML Jansen had a duty to report to appropriate security officials his engagements with Leonard Francis and the offering and accepting of gifts by a foreign national. RDML Jansen did not report any of his interactions with Leonard Francis, or the offering and accepting of the gifts referenced above, at any time.

Subj: ADVERSE INFORMATION ICO RDML ADRIAN JANSEN, USN

4. RDML Jansen was in contact with, and socialized directly with, Mr. Francis on numerous occasions. Overall, RDML Jansen failed to live up the standards expected of an officer of his rank and experience during his interactions with Mr. Francis and GDMA.

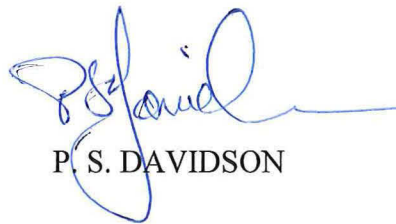
5. The above findings constitute adverse information in accordance with reference (d). I addressed these issues with RDML Jansen at Admiral's Mast and imposed nonjudicial punishment in the form of a punitive letter of reprimand and forfeiture of three thousand seven hundred and fifty dollars per month for two months (total forfeitures of \$7,500.00). My findings were in accordance with RDML Jansen's pleas of guilty to three specifications of orders violations implementing the Joint Ethics Regulation within the Department of Defense and one specification of willful dereliction of duty for failure to report the gifts. My finding related to the negligent dereliction of duty for failing to report Leonard Francis as a foreign contact was contrary to RDML Jansen's plea at Admiral's Mast.

6. By copy of this letter, I am recommending that RDML Jansen's current command suspend his access to classified information in accordance with reference (g). In addition, I have referred evidence to the DoD Central Adjudication Facility for any action deemed appropriate related to RDML Jansen's security clearance.

7. In accordance with references (e) and (f), via separate correspondence, I am recommending that the Secretary of the Navy revoke RDML Jansen's end of tour award for his assignment as the Naval Attaché to Indonesia during which these offenses took place.

8. I considered all potential and appropriate remedies consistent with the evidence and findings of fact including restitution and reimbursement. The punishment imposed at Admiral's Mast appropriately addresses the benefits that RDML Jansen obtained as a result of his misconduct. My point of contact for this matter is (b)(6), (b)(7)(C) USN (b)(6), (b)(7)(C) may be reached at

(b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:

VCNO (N09BL)

CNP (N00F)

NCIS

(b)(6), (b)(7)(C)

DCIS

CNIC (N00J)

**REPORT AND DISPOSITION OF OFFENSE(S)**  
**NAVPERS 1626/7**

To: Commander, U.S. Fleet Forces Command Date of Report: 27 January 2017  
 I hereby report the following named person for the offense(s) noted:

NAME OF ACCUSED	SERIAL NO.	SOCIAL SECURITY NO.	RATE/GRADE	BR. & CLASS	DIV/DEPT
JANSEN, Adrian J.	N/A	(b)(6), (b)(7)(C)	RDML/O7	USN	
PLACE OF OFFENSE(S)			DATE OF OFFENSE(S)		
At or near Jakarta, Indonesia			On or about 7 December 2011		
At or near Bali, Indonesia			On or about 20 October 2012		
At or near Jakarta, Indonesia			On or about 19 June 2013		

DETAILS OF OFFENSE(S) (Refer by Article of UCMJ if known. If unauthorized absence, give following info: time and date of commencement, whether over leave or liberty, time and date of apprehension or surrender and arrival on board, loss of ID card and/or liberty card, etc.):

**Charge: Violation of UCMJ Article 92**

Specification 1 (Violation of Lawful Order): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, having knowledge of a lawful order issued by the Secretary of Defense, to wit: Paragraph 2-100 of the Joint Ethics Regulation, Department of Defense 5500.07-R, as implemented by Department of Defense Directive 5500.07, dated 9 November 2007, an order which it was his duty to obey, did, at or near Jakarta, Indonesia, on or about 7 December 2011, fail to obey the same by wrongfully accepting a gift of a value exceeding permissible limits from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, in the form of food and beverages purchased for RDML Jansen and the said RDML Jansen (b)(6), (b)(7)(C) in violation of 5 C.F.R. 2635.202.

SEE CONTINUATION PAGE

NAME OF WITNESS	RATE/GRADE	DIV/DEPT	NAME OF WITNESS	RATE/GRADE	DIV/DEPT
(b)(6), (b)(7)(C)		USN	(b)(6), (b)(7)(C)		USN
Assistant Fleet Judge Advocate					
(Rate/Grade/Title of person submitting report)			(Signature of Accused)		

I have been informed of the nature of the accusation(s) against me. I understand I do not have to answer any questions or make any statement regarding the offense(s) of which I am accused or suspected. However, I understand any statement made or questions answered by me may be used as evidence against me in event of trial by court-martial (Article 31, UCMJ).

Witness: (b)(6), (b)(7)(C) Acknowledged: Adrian J. Jansen  
 (Signature of Accused)

PRE-MAST RESTRAINT	<input type="checkbox"/> PRE-TRIAL CONFINEMENT	<input type="checkbox"/> RESTRICTED: You are restricted to the limits of _____ in lieu of arrest by order of the CO. Until your status as a restricted person is terminated by the CO, you may not leave the restricted limits except with the express permission of the CO or XO. You have been informed of the times and places which you are required to muster.
	<input checked="" type="checkbox"/> NO RESTRICTION	

(Signature and title of person imposing restraint)

(Signature of Accused)

**INFORMATION CONCERNING ACCUSED**

CURRENT ENL. DATE	EXPIRATION CURRENT ENL. DATE	TOTAL ACTIVE NAVAL SERVICE	TOTAL SERVICE ON BOARD	EDUCATION	AFQT	AGE
15 Dec 1984	INDEF	32 yrs 1 mos	N/A	N/A	N/A	(b)(6), (b)(7)(C)
MARITAL STATUS	NO. DEPENDENTS	CONTRIBUTION TO FAMILY OR QTRS. ALLOWANCE (Amount required by law)		PAY PER MONTH (Including sea or foreign duty pay, if any)		
(b)(6), (b)(7)(C)		N/A		\$13,006; 1/2 = \$6,503		

RECORD OF PREVIOUS OFFENSE(S) (Date type action taken etc. Nonjudicial punishment incidents are to be included.)  
 None known.

**Charge: Violation of UCMJ Article 92 (continued)**

Specification 2 (Violation of Lawful Order): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, having knowledge of a lawful order issued by the Secretary of Defense, to wit: Paragraph 2-100 of the Joint Ethics Regulation, Department of Defense 5500.07-R, as implemented by Department of Defense Directive 5500.07, dated 9 November 2007, an order which it was his duty to obey, did, at or near Bali, Indonesia, on or about 20 October 2012, fail to obey the same by wrongfully accepting a gift of a value exceeding permissible limits from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, in the form of food and beverages purchased for RDML Jansen and the said RDML Jansen (b)(6), (b)(7)(D) violation of 5 C.F.R. 2635.202.

Specification 3 (Violation of Lawful Order): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, having knowledge of a lawful order issued by the Secretary of Defense, to wit: Paragraph 2-100 of the Joint Ethics Regulation, Department of Defense 5500.07-R, as implemented by Department of Defense Directive 5500.07, dated 9 November 2007, an order which it was his duty to obey, did, at or near Jakarta, Indonesia, on or about 19 June 2013, fail to obey the same by wrongfully accepting a gift of a value exceeding permissible limits from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, in the form of food, beverage, [redacted] bottles of wine purchased for RDML Jansen in violation of 5 C.F.R. 2635.202.

Specification 4 (Negligent Dereliction of Duty): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, who knew of his duties, at or near Jakarta, Indonesia, on divers occasions from about December 2011 to about June 2013, was derelict in the performance of those duties in that he (H)(6), (b)(7)(C) fully failed to report contacts with Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a foreign [redacted] onal, to the Office of the Defense Attaché, as it was his duty to do.

Specification 5 (Willful Dereliction of Duty): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, who knew of his duties, at or near Jakarta, Indonesia, on divers occasions from about December 2011 to about June 2013, was derelict in the performance of those duties in that he willfully failed to report acceptance of gifts from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, to the Office of the Defense Attaché, as it was his duty to do.

**AND NO OTHERS**

## PRELIMINARY INQUIRY REPORT

From: Commander

Date: \_\_\_\_\_

To: N/A

1. Transmitted herewith for preliminary inquiry and report by you, including, if appropriate in the interest of justice and discipline, the preferring of such charges as appeal to you to be sustained by expected evidence.

REMARKS OF DIVISION OFFICER (Performance of duty, etc.)

N/A

NAME OF WITNESS	RATE/GRADE	DIV/DEPT	NAME OF WITNESS	RATE/GRADE	DIV/DEPT

RECOMMENDATION AS TO DISPOSITION

☐ REFER TO COURT-MARTIAL FOR TRIAL OF ATTACHED CHARGES  
(Complete Charge Sheet (DD Form 458) through Page 2)☐

DISPOSE OF CASE AT MAST

☐

NO PUNITIVE ACTION NECESSARY OR DESIRABLE

☐

OTHER

COMMENT (Include data regarding availability of witnesses, summary of expected evidence, conflicts in evidence, if expected. Attach statements of witnesses, documentary evidence such as service record entries in UA cases, items of real evidence, etc.)

(Signature of Investigating Officer)

## ACTION OF EXECUTIVE OFFICER

☐

DISMISSED

☐

REFER TO CAPTAIN'S MAST

SIGNATURE OF EXECUTIVE OFFICER

## RIGHT TO DEMAND TRIAL BY COURT-MARTIAL

(Not applicable to persons attached to or embarked on a vessel)

I understand that nonjudicial punishment may not be imposed on me if, before the imposition of such punishment, I demand in lieu thereof trial by court-martial. I therefore (do) (do not) demand trial by court-martial.

(b)(6), (b)(7)(C)

SIGNATURE OF ACCUSED

## ACTION OF COMMANDING OFFICER

☐

DISMISSED

☐

DISMISSED WITH WARNING (Not considered NJP)

☐

ADMONITION: ORAL/IN WRITING

☒

REPRIMAND: ORAL/IN WRITING

☐

REST. TO \_\_\_\_\_ FOR \_\_\_\_\_ DAYS

☒

REST. TO \_\_\_\_\_ FOR \_\_\_\_\_ DAYS WITH SUSP. FROM DUTY

☒

FORFEITURE: TO FORFEIT \$ 3750 PAY PER MO. FOR 2 MO(S)

☐

DETENTION: TO HAVE \$ \_\_\_\_\_ PAY PER MO. FOR (1, 2, 3) MO(S) DETAINED FOR \_\_\_\_\_ MO(S)

☐

CONF. ON \_\_\_\_\_ 1, 2, OR 3 DAYS

☐

CORRECTIONAL CUSTODY FOR \_\_\_\_\_ DAYS

☐

REDUCTION TO NEXT INFERIOR PAY GRADE

☐

REDUCTION TO PAY GRADE OF \_\_\_\_\_

☐

EXTRA DUTIES FOR \_\_\_\_\_ DAYS

☐

PUNISHMENT SUSPENDED FOR \_\_\_\_\_

☐

REFER TO ART. 32 INVESTIGATION

☐

RECOMMENDED FOR TRIAL BY GCM

☐

AWARDED SPCM

☐

AWARDED SCM

DATE OF MAST

10 Feb 2017

DATE ACCUSED INFORMED OF ABOVE ACTION

10 Feb. 2017

SIGNATURE OF COMMANDING OFFICER

ASM USN

It has been explained to me and I understand that if I feel this imposition of nonjudicial punishment to be unjust or disproportionate to the offenses charged against me, I have the right to immediately appeal my conviction to the next higher authority within 5 days. See agreement

SIGNATURE OF ACCUSED

DATE

10 Feb 2017

I have explained the above to

SIGNATURE OF WITNESS

(b)(6), (b)(7)(C)

10 Feb 2017

## FINAL ADMINISTRATIVE ACTION

APPEAL SUBMITTED BY ACCUSED

DATED: \_\_\_\_\_

FORWARDED FOR DECISION ON: \_\_\_\_\_

FINAL RESULT OF APPEAL:

No appeal submitted per agreement

APPROPRIATE ENTRIES MADE IN SERVICE RECORD AND PAY ACCOUNT ADJUSTED WERE REQUIRED

DATE: \_\_\_\_\_

(Initials)

FILED IN UNIT PUNISHMENT BOOK:

DATE: \_\_\_\_\_

(Initials)

NAVPERS 1626/7 (Rev. 12-88) (BACK)